

UNITED STATES BANKRUPTCY COURT  
DISTRICT OF WYOMING

In re: RICH GLOBAL, LLC

§ Case No. 12-20834-CDP

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Debtor(s)

**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY ADMINISTERED  
AND APPLICATION TO BE DISCHARGED (TDR)**

TRACY L. ZUBROD, chapter 7 trustee, submits this Final Account,  
Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$0.00</u> (without deducting any secured claims)	Assets Exempt: <u>N/A</u>
Total Distribution to Claimants: <u>\$6,581,698.22</u>	Claims Discharged Without Payment: <u>N/A</u>
Total Expenses of Administration: <u>\$3,274,310.70</u>	

3) Total gross receipts of \$ 9,856,008.92 (see **Exhibit 1** ), minus funds paid to the debtor and third parties of \$ 0.00 (see **Exhibit 2** ), yielded net receipts of \$9,856,008.92 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	\$0.00	\$0.00	\$0.00	\$0.00
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	0.00	3,280,763.23	3,274,310.70	3,274,310.70
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	0.00	0.00	0.00	0.00
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	0.00	287,213.19	125,000.00	125,000.00
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	23,715,987.68	23,690,999.41	23,690,999.41	6,456,698.22
<b>TOTAL DISBURSEMENTS</b>	\$23,715,987.68	\$27,258,975.83	\$27,090,310.11	\$9,856,008.92

4) This case was originally filed under Chapter 7 on August 20, 2012.  
The case was pending for 94 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 07/16/2020 By: /s/TRACY L. ZUBROD  
Trustee

**STATEMENT:** This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO  
FINAL ACCOUNT**

**EXHIBIT 1 –GROSS RECEIPTS**

DESCRIPTION	UNIFORM TRAN. CODE <sup>1</sup>	\$ AMOUNT RECEIVED
CHECKING, SAVINGS OR OTHER FINANCIAL ACCOUNTS, C	1129-000	5,060.19
CHECKING, SAVINGS OR OTHER FINANCIAL ACCOUNTS, C	1129-000	5,720.33
OTHER PERSONAL PROPERTY OF ANY KIND NOT ALREADY	1121-000	1,544,478.88
OTHER PERSONAL PROPERTY OF ANY KIND NOT ALREADY	1121-000	386,119.72
SETTLEMENT AGREEMENT FOR DISMISSAL OF APPEAL	1249-000	100,000.00
PREFERENTIAL PAYMENT	1141-000	314,629.80
adversary settlement for all pending litigation	1149-000	7,500,000.00
<b>TOTAL GROSS RECEIPTS</b>		<b>\$9,856,008.92</b>

<sup>1</sup>The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

**EXHIBIT 2 –FUNDS PAID TO DEBTOR & THIRD PARTIES**

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
	None		
<b>TOTAL FUNDS PAID TO DEBTOR AND THIRD PARTIES</b>			<b>\$0.00</b>

**EXHIBIT 3 –SECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
			None			
<b>TOTAL SECURED CLAIMS</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

**EXHIBIT 4 –CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Trustee Compensation - TRACY L. ZUBROD, Chapter 7 Trustee	2100-000	N/A	318,930.27	318,930.27	318,930.27
Trustee Expenses - TRACY L. ZUBROD, Chapter 7 Trustee	2200-000	N/A	7,771.96	1,319.43	1,319.43
Attorney for Trustee Fees (Trustee Firm) - Tracy L. Zubrod	3110-000	N/A	7,650.00	7,650.00	7,650.00
Attorney for Trustee Expenses (Trustee Firm) - Tracy L. Zubrod	3120-000	N/A	15.60	15.60	15.60
Other - Spencer Fane LLP	3210-000	N/A	2,178,738.00	2,178,738.00	2,178,738.00
Other - Spencer Fane LLP	3220-000	N/A	138,816.03	138,816.03	138,816.03
Other - Dennis & Company	3410-000	N/A	4,543.00	4,543.00	4,543.00
Other - Cordes & Company	3410-000	N/A	411,682.95	411,682.95	411,682.95
Other - Cordes & Company	3420-000	N/A	23,416.54	23,416.54	23,416.54
Other - James T. Burghardt	3721-000	N/A	15,570.00	15,570.00	15,570.00
Other - Moye & White LLP	3721-000	N/A	21,529.00	21,529.00	21,529.00
Other - Edward W. Nottingham	3721-000	N/A	24,890.58	24,890.58	24,890.58
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - Rabobank, N.A.	2600-000	N/A	14.36	14.36	14.36
Other - Rabobank, N.A.	2600-000	N/A	16.94	16.94	16.94
Other - Rabobank, N.A.	2600-000	N/A	14.36	14.36	14.36
Other - Rabobank, N.A.	2600-000	N/A	14.85	14.85	14.85
Other - Rabobank, N.A.	2600-000	N/A	16.36	16.36	16.36
Other - Rabobank, N.A.	2600-000	N/A	15.83	15.83	15.83
Other - Rabobank, N.A.	2600-000	N/A	14.28	14.28	14.28
Other - Rabobank, N.A.	2600-000	N/A	74.34	74.34	74.34
Other - Rabobank, N.A.	2600-000	N/A	505.96	505.96	505.96
Other - Rabobank, N.A.	2600-000	N/A	590.46	590.46	590.46
Other - Rabobank, N.A.	2600-000	N/A	671.03	671.03	671.03
Other - Rabobank, N.A.	2600-000	N/A	568.41	568.41	568.41
Other - Rabobank, N.A.	2600-000	N/A	669.04	669.04	669.04
Other - International Sureties, LTD	2300-000	N/A	450.18	450.18	450.18
Other - Rabobank, N.A.	2600-000	N/A	627.11	627.11	627.11
Other - Rabobank, N.A.	2600-000	N/A	655.20	655.20	655.20

Other - Rabobank, N.A.	2600-000	N/A	1,453.59	1,453.59	1,453.59
Other - Rabobank, N.A.	2600-000	N/A	1,601.87	1,601.87	1,601.87
Other - Rabobank, N.A.	2600-000	N/A	1,499.32	1,499.32	1,499.32
Other - Rabobank, N.A.	2600-000	N/A	1,447.25	1,447.25	1,447.25
Other - Rabobank, N.A.	2600-000	N/A	1,691.24	1,691.24	1,691.24
Other - Rabobank, N.A.	2600-000	N/A	1,689.16	1,689.16	1,689.16
Other - Rabobank, N.A.	2600-000	N/A	2,035.19	2,035.19	2,035.19
Other - Rabobank, N.A.	2600-000	N/A	2,545.08	2,545.08	2,545.08
Other - Rabobank, N.A.	2600-000	N/A	2,589.04	2,589.04	2,589.04
Other - International Sureties, LTD	2300-000	N/A	1,333.24	1,333.24	1,333.24
Other - Rabobank, N.A.	2600-000	N/A	3,260.27	3,260.27	3,260.27
Other - Rabobank, N.A.	2600-000	N/A	2,876.71	2,876.71	2,876.71
Other - Rabobank, N.A.	2600-000	N/A	2,684.93	2,684.93	2,684.93
Other - Rabobank, N.A.	2600-000	N/A	3,068.49	3,068.49	3,068.49
Other - Rabobank, N.A.	2600-000	N/A	2,876.71	2,876.71	2,876.71
Other - Rabobank, N.A.	2600-000	N/A	2,780.82	2,780.82	2,780.82
Other - Rabobank, N.A.	2600-000	N/A	3,033.19	3,033.19	3,033.19
Other - Rabobank, N.A.	2600-000	N/A	2,894.72	2,894.72	2,894.72
Other - Rabobank, N.A.	2600-000	N/A	2,703.93	2,703.93	2,703.93
Other - Rabobank, N.A.	2600-000	N/A	2,979.76	2,979.76	2,979.76
Other - Rabobank, N.A.	2600-000	N/A	2,789.00	2,789.00	2,789.00
Other - Rabobank, N.A.	2600-000	N/A	2,692.15	2,692.15	2,692.15
Other - International Sureties, LTD	2300-000	N/A	858.26	858.26	858.26
Other - Rabobank, N.A.	2600-000	N/A	2,873.96	2,873.96	2,873.96
Other - Rabobank, N.A.	2600-000	N/A	2,479.54	2,479.54	2,479.54
Other - Rabobank, N.A.	2600-000	N/A	2,475.86	2,475.86	2,475.86
Other - Rabobank, N.A.	2600-000	N/A	2,775.35	2,775.35	2,775.35
Other - Rabobank, N.A.	2600-000	N/A	2,428.41	2,428.41	2,428.41
Other - Rabobank, N.A.	2600-000	N/A	2,423.03	2,423.03	2,423.03
Other - Rabobank, N.A.	2600-000	N/A	2,753.77	2,753.77	2,753.77
Other - Rabobank, N.A.	2600-000	N/A	2,415.85	2,415.85	2,415.85
Other - Rabobank, N.A.	2600-000	N/A	2,453.73	2,453.73	2,453.73
Other - Rabobank, N.A.	2600-000	N/A	2,224.74	2,224.74	2,224.74
Other - Rabobank, N.A.	2600-000	N/A	2,146.76	2,146.76	2,146.76

Other - Rabobank, N.A.	2600-000	N/A	2,358.55	2,358.55	2,358.55
Other - International Sureties, LTD	2300-000	N/A	516.72	516.72	516.72
Other - Rabobank, N.A.	2600-000	N/A	2,133.47	2,133.47	2,133.47
Other - Rabobank, N.A.	2600-000	N/A	2,095.90	2,095.90	2,095.90
Other - Rabobank, N.A.	2600-000	N/A	1,830.37	1,830.37	1,830.37
Other - Rabobank, N.A.	2600-000	N/A	2,019.84	2,019.84	2,019.84
Other - Rabobank, N.A.	2600-000	N/A	1,813.00	1,813.00	1,813.00
Other - Rabobank, N.A.	2600-000	N/A	2,127.48	2,127.48	2,127.48
Other - Rabobank, N.A.	2600-000	N/A	1,849.42	1,849.42	1,849.42
Other - Rabobank, N.A.	2600-000	N/A	1,674.92	1,674.92	1,674.92
Other - Rabobank, N.A.	2600-000	N/A	1,903.46	1,903.46	1,903.46
Other - Rabobank, N.A.	2600-000	N/A	1,669.95	1,669.95	1,669.95
Other - Rabobank, N.A.	2600-000	N/A	1,840.14	1,840.14	1,840.14
Other - International Sureties, LTD	2300-000	N/A	370.24	370.24	370.24
Other - Rabobank, N.A.	2600-000	N/A	1,722.48	1,722.48	1,722.48
Other - Rabobank, N.A.	2600-000	N/A	1,474.40	1,474.40	1,474.40
Other - Rabobank, N.A.	2600-000	N/A	1,571.34	1,571.34	1,571.34
Other - Rabobank, N.A.	2600-000	N/A	1,301.69	1,301.69	1,301.69
Other - Rabobank, N.A.	2600-000	N/A	1,392.80	1,392.80	1,392.80
Other - Rabobank, N.A.	2600-000	N/A	1,341.56	1,341.56	1,341.56
Other - Rabobank, N.A.	2600-000	N/A	1,519.97	1,519.97	1,519.97
Other - Rabobank, N.A.	2600-000	N/A	1,329.96	1,329.96	1,329.96
Other - Rabobank, N.A.	2600-000	N/A	1,116.54	1,116.54	1,116.54
Other - Rabobank, N.A.	2600-000	N/A	710.36	710.36	710.36
Other - Rabobank, N.A.	2600-000	N/A	366.09	366.09	366.09
Other - Rabobank, N.A.	2600-000	N/A	431.13	431.13	431.13
Other - International Sureties, LTD	2300-000	N/A	139.00	139.00	139.00
Other - International Sureties, LTD	2300-000	N/A	2,784.89	2,784.89	2,784.89
<b>TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES</b>		N/A	\$3,280,763.23	\$3,274,310.70	\$3,274,310.70

**EXHIBIT 5 –PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
None					
<b>TOTAL PRIOR CHAPTER ADMIN. FEES AND CHARGES</b>		N/A	\$0.00	\$0.00	\$0.00

**EXHIBIT 6 –PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
3	The Marantz Law Firm as attorneys for PRG	5200-000	N/A	287,213.19	125,000.00	125,000.00
NOTFILED	United States Attorney District of Wyoming	5200-000	unknown	N/A	N/A	0.00
NOTFILED	Office of the Attorney General U.S. Department of Internal Revenue Service	5200-000	unknown	N/A	N/A	0.00
NOTFILED	Internal Revenue Service	5200-000	0.00	N/A	N/A	0.00
NOTFILED	Arizona Department of Revenue	5200-000	0.00	N/A	N/A	0.00
<b>TOTAL PRIORITY UNSECURED CLAIMS</b>			\$0.00	\$287,213.19	\$125,000.00	\$125,000.00

**EXHIBIT 7 –GENERAL UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1	Debevoise & Plimpton LLP	7100-000	23,687,957.21	23,690,999.41	23,690,999.41	6,456,698.22
2	RICH DAD OPERATING COMPANY, LLC	7100-000	N/A	N/A	0.00	0.00
NOTFILED	Robert Crew and Robert Maurice c/o Bursor & Fisher,	7100-000	unknown	N/A	N/A	0.00
NOTFILED	Quarles & Brady, LLP One Renaissance Square	7100-000	6,160.00	N/A	N/A	0.00
NOTFILED	Paul Weiss Rifkind Wharton&Garrison LLP	7100-000	21,870.47	N/A	N/A	0.00
NOTFILED	United States Attorney District of Wyoming	7100-000	unknown	N/A	N/A	0.00
NOTFILED	Harris & Houghteling, LLP Jonathon Harris	7100-000	unknown	N/A	N/A	0.00
NOTFILED	Office of the Attorney General U.S. Department of	7100-000	unknown	N/A	N/A	0.00
<b>TOTAL GENERAL UNSECURED CLAIMS</b>			\$23,715,987.68	\$23,690,999.41	\$23,690,999.41	\$6,456,698.22

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

**Case Number:** 12-20834-CDP  
**Case Name:** RICH GLOBAL, LLC

**Trustee:** (700120) TRACY L. ZUBROD, Chapter 7 Trustee  
**Filed (f) or Converted (c):** 08/20/12 (f)  
**§341(a) Meeting Date:** 09/26/12  
**Claims Bar Date:** 01/02/13

**Period Ending:** 07/16/20

1 Asset Description (Scheduled And Unscheduled (u) Property) Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=\$554(a)	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1 CHECKING, SAVINGS OR OTHER FINANCIAL ACCOUNTS, C Orig. Description: Credit Suisse Bank Account; Imported from original petition Doc# 1	5,326.81	5,060.19		5,060.19	FA
2 CHECKING, SAVINGS OR OTHER FINANCIAL ACCOUNTS, C Orig. Description: J.P. Morgan Chase Bank Account; Imported from original petition Doc# 1	5,720.33	5,720.33		5,720.33	FA
3 OTHER PERSONAL PROPERTY OF ANY KIND NOT ALREADY Orig. Description: Notes Receivable - Robert and Kim Kiyosaki (Face Amount); Imported from original petition Doc# 1	1,544,478.88	1,544,478.88		1,544,478.88	FA
4 OTHER PERSONAL PROPERTY OF ANY KIND NOT ALREADY Orig. Description: Notes Receivable - Robert and Kim Kiyosaki (Total Interest); Imported from original petition Doc# 1	238,879.24	386,119.72		386,119.72	FA
5 SETTLEMENT AGREEMENT FOR DISMISSAL OF APPEAL (u)	100,000.00	100,000.00		100,000.00	FA
6 PREFERENTIAL PAYMENT	314,629.80	314,629.80		314,629.80	FA
7 adversary settlement for all pending litigation fraudulent conveyences, successor liability, alter ego	Unknown	24,000,000.00		7,500,000.00	FA
7 Assets Totals (Excluding unknown values)	\$2,209,035.06	\$26,356,008.92		\$9,856,008.92	\$0.00

**Major Activities Affecting Case Closing:**

TDR submitted to UST for approval.

**Initial Projected Date Of Final Report (TFR):** March 9, 2020

**Current Projected Date Of Final Report (TFR):** April 22, 2020 (Actual)



## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 12-20834-CDP  
**Case Name:** RICH GLOBAL, LLC

**Trustee:** TRACY L. ZUBROD, Chapter 7 Trustee (700120)  
**Bank Name:** The Bank of New York Mellon  
**Account:** \*\*\*\*\_\*\*\*\*\*41-66 - Checking Account  
**Blanket Bond:** \$59,566,052.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*\_\*\*\*4511  
**Period Ending:** 07/16/20

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/09/12	{1}	Debtor	bank balance	1129-000	5,060.19		5,060.19
10/09/12	{2}	Debtor	bank balance	1129-000	5,720.33		10,780.52
10/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	10,755.52
11/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	10,730.52
12/27/12		RABOBANK MIGRATION TRANSFER OUT	TRANSFER TO 0001070012088 20121227	9999-000		10,730.52	0.00

<b>ACCOUNT TOTALS</b>	<b>10,780.52</b>	<b>10,780.52</b>	<b>\$0.00</b>
Less: Bank Transfers	0.00	10,730.52	
<b>Subtotal</b>	<b>10,780.52</b>	<b>50.00</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$10,780.52</b>	<b>\$50.00</b>	

## Form 2

### Cash Receipts And Disbursements Record

Case Number: 12-20834-CDP

Case Name: RICH GLOBAL, LLC

Taxpayer ID #: \*\*-\*\*\*4511

Period Ending: 07/16/20

Trustee: TRACY L. ZUBROD, Chapter 7 Trustee (700120)

Bank Name: Mechanics Bank

Account: \*\*\*\*\*5766 - Checking Account

Blanket Bond: \$59,566,052.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/28/12		RABOBANK MIGRATION TRANSFER IN	RABOBANK MIGRATION	9999-000	10,730.52		10,730.52
12/31/12		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		14.36	10,716.16
01/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		16.94	10,699.22
02/28/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		14.36	10,684.86
03/29/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		14.85	10,670.01
04/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		16.36	10,653.65
05/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		15.83	10,637.82
06/28/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		14.28	10,623.54
07/18/13	{5}	Belcher & Boomgaarden LLP	settlement agreement for dismissal of appeal	1249-000	100,000.00		110,623.54
07/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		74.34	110,549.20
08/06/13	{6}	Paul, Weiss, Rifkind, Wharton & Garrison LLP	preferential payment	1141-000	314,629.80		425,179.00
08/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		505.96	424,673.04
09/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		590.46	424,082.58
10/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		671.03	423,411.55
11/29/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		568.41	422,843.14
12/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		669.04	422,174.10
01/09/14	10101	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 09/30/2013 FOR CASE #12-20834, Bond #16027974	2300-000		450.18	421,723.92
01/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		627.11	421,096.81
02/24/14	{3}	Robert & Kim Kiyosaki	promissory note	1121-000	625,000.00		1,046,096.81
02/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		655.20	1,045,441.61
03/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,453.59	1,043,988.02
04/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,601.87	1,042,386.15
05/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,499.32	1,040,886.83
06/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,447.25	1,039,439.58
07/24/14	{3}	Kim & Robert Kiyosaki	promissory note	1121-000	161,685.00		1,201,124.58
07/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,691.24	1,199,433.34
08/22/14	{3}	Kim & Robert Kiyosaki	promissory note	1121-000	111,883.75		1,311,317.09
08/29/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,689.16	1,309,627.93
09/25/14	{3}	Kim & Robert Kiyosaki	promissory note	1121-000	135,102.50		1,444,730.43
09/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,035.19	1,442,695.24
10/15/14		Kim & Robert Kiyosaki	promissory notes		625,000.00		2,067,695.24
	{3}		promissory note 510,807.63	1121-000			2,067,695.24
	{4}		promissory note 114,192.37	1121-000			2,067,695.24

Subtotals : \$2,084,031.57 \$16,336.33

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 12-20834-CDP  
**Case Name:** RICH GLOBAL, LLC

**Trustee:** TRACY L. ZUBROD, Chapter 7 Trustee (700120)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*5766 - Checking Account  
**Blanket Bond:** \$59,566,052.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*4511  
**Period Ending:** 07/16/20

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,545.08	2,065,150.16
11/17/14	{4}	Kim & Robert Kiyosaki	promissory note	1121-000	130,640.00		2,195,790.16
11/25/14	10102	SPENCER FANE BRITT & BROWNE LLP	Attorney fees	3210-000		151,945.20	2,043,844.96
11/25/14	10103	SPENCER FANE BRITT & BROWNE LLP	Attorney expenses	3220-000		5,748.45	2,038,096.51
11/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,589.04	2,035,507.47
12/03/14	10104	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 09/30/2014 FOR CASE #12-20834, BOND #016027974 Voided on 12/04/14	2300-000		1,291.53	2,034,215.94
12/04/14	10104	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 09/30/2014 FOR CASE #12-20834, BOND #016027974 Voided: check issued on 12/03/14	2300-000		-1,291.53	2,035,507.47
12/04/14	10105	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 09/30/2014 FOR CASE #12-20834, BOND #0160274974	2300-000		1,333.24	2,034,174.23
12/05/14	{4}	Robert & Kim Kiyosaki	promissory note	1121-000	141,287.35		2,175,461.58
12/23/14	10106	CORDES & COMPANY	Accountant fees	3410-000		135,361.35	2,040,100.23
12/23/14	10107	CORDES & COMPANY	Accountant expenses	3420-000		4,588.77	2,035,511.46
12/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		3,260.27	2,032,251.19
01/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,876.71	2,029,374.48
02/27/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,684.93	2,026,689.55
03/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		3,068.49	2,023,621.06
04/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,876.71	2,020,744.35
05/29/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,780.82	2,017,963.53
06/02/15	10108	SPENCER FANE BRITT & BROWNE LLP	Attorney fees	3210-000		63,070.20	1,954,893.33
06/02/15	10109	SPENCER FANE BRITT & BROWNE LLP	Attorney expenses	3220-000		4,259.72	1,950,633.61
06/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		3,033.19	1,947,600.42
07/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,894.72	1,944,705.70
08/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,703.93	1,942,001.77
09/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,979.76	1,939,022.01
10/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,789.00	1,936,233.01
11/20/15	10110	SPENCER FANE BRITT & BROWN, LLP	Attorney Fees Voided on 11/25/15	3210-000		149,447.00	1,786,786.01

Subtotals :                      \$271,927.35                      \$552,836.58

## Form 2

## Cash Receipts And Disbursements Record

Case Number: 12-20834-CDP

Case Name: RICH GLOBAL, LLC

Taxpayer ID #: \*\*-\*\*\*4511

Period Ending: 07/16/20

Trustee: TRACY L. ZUBROD, Chapter 7 Trustee (700120)

Bank Name: Mechanics Bank

Account: \*\*\*\*\*5766 - Checking Account

Blanket Bond: \$59,566,052.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
11/20/15	10111	SPENCER FANE BRITT & BROWN, LLP	Attorney Expenses	3220-000		7,272.43	1,779,513.58
11/25/15	10110	SPENCER FANE BRITT & BROWN, LLP	Attorney Fees Voided: check issued on 11/20/15	3210-000		-149,447.00	1,928,960.58
11/25/15	10112	SPENCER FANE BRITT & BROWN, LLP	Attorney Fees	3210-000		134,502.30	1,794,458.28
11/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,692.15	1,791,766.13
12/01/15	10113	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 12/01/2015 FOR CASE #12-20834, Bond # 016027974	2300-000		858.26	1,790,907.87
12/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,873.96	1,788,033.91
01/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,479.54	1,785,554.37
02/26/16	10114	CORDES & COMPANY	Accountant fees	3410-000		26,189.75	1,759,364.62
02/26/16	10115	CORDES & COMPANY	Accountant expenses	3420-000		14.60	1,759,350.02
03/01/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,475.86	1,756,874.16
03/11/16	10116	Edward W. Nottingham	Arbitrator fees	3721-000		1,218.75	1,755,655.41
03/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,775.35	1,752,880.06
04/08/16	10117	Edward W. Nottingham	Arbitrator fees	3721-000		3,000.00	1,749,880.06
04/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,428.41	1,747,451.65
05/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,423.03	1,745,028.62
06/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,753.77	1,742,274.85
07/21/16	10118	SPENCER FANE BRITT & BROWN, LLP	Attorney Fees	3210-000		180,447.75	1,561,827.10
07/21/16	10119	SPENCER FANE BRITT & BROWN, LLP	Attorney Expenses	3220-000		4,743.95	1,557,083.15
07/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,415.85	1,554,667.30
08/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,453.73	1,552,213.57
09/06/16	10120	Edward W. Nottingham	Arbitrator fees	3721-000		1,781.26	1,550,432.31
09/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,224.74	1,548,207.57
10/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,146.76	1,546,060.81
11/07/16	10121	Edward W. Nottingham	Arbitrator fees	3721-000		9,421.83	1,536,638.98
11/28/16	10122	Moye White LLP	Mediator retainer fee	3721-000		5,000.00	1,531,638.98
11/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,358.55	1,529,280.43
12/13/16	10123	SPENCER FANE BRITT & BROWN, LLP	Attorney Fees	3210-000		154,064.40	1,375,216.03
12/13/16	10124	SPENCER FANE BRITT & BROWN, LLP	Attorney Expenses	3220-000		4,966.48	1,370,249.55

Subtotals : \$0.00 \$416,536.46

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 12-20834-CDP  
**Case Name:** RICH GLOBAL, LLC

**Trustee:** TRACY L. ZUBROD, Chapter 7 Trustee (700120)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*5766 - Checking Account  
**Blanket Bond:** \$59,566,052.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*4511

**Period Ending:** 07/16/20

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/20/16	10125	Edward W. Nottingham	Arbitrator fees	3721-000		1,312.48	1,368,937.07
12/22/16	10126	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 12/22/2016 FOR CASE #12-20834, 1000-900-1944	2300-000		516.72	1,368,420.35
12/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,133.47	1,366,286.88
01/13/17	10127	Edward W. Nottingham	Arbitrator fees	3721-000		749.99	1,365,536.89
01/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,095.90	1,363,440.99
02/22/17	10128	Moye White LLP	Mediator fee	3721-000		1,677.85	1,361,763.14
02/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,830.37	1,359,932.77
03/21/17	10129	Edward W. Nottingham	Arbitrator fees	3721-000		7,406.27	1,352,526.50
03/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,019.84	1,350,506.66
04/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,813.00	1,348,693.66
05/16/17	10130	Moye White LLP	Mediator fee	3721-000		14,851.15	1,333,842.51
05/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		2,127.48	1,331,715.03
06/01/17	10131	SPENCER FANE BRITT & BROWN, LLP	Attorney Fees	3210-000		121,662.45	1,210,052.58
06/01/17	10132	SPENCER FANE BRITT & BROWN, LLP	Attorney Expenses	3220-000		3,574.41	1,206,478.17
06/30/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,849.42	1,204,628.75
07/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,674.92	1,202,953.83
08/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,903.46	1,201,050.37
09/29/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,669.95	1,199,380.42
10/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,840.14	1,197,540.28
11/29/17	10133	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 11/29/2017 FOR CASE #12-20834, Bond # 016027974	2300-000		370.24	1,197,170.04
11/30/17	10134	SPENCER FANE BRITT & BROWN, LLP	ATTORNEY FEES	3210-000		168,708.00	1,028,462.04
11/30/17	10135	SPENCER FANE BRITT & BROWN, LLP	ATTORNEY EXPENSES	3220-000		17,860.75	1,010,601.29
11/30/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,722.48	1,008,878.81
12/29/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,474.40	1,007,404.41
01/12/18	10136	CORDES & COMPANY, INC	Accountant Expenses Stopped on 01/12/18	3420-000		43,084.00	964,320.41
01/12/18	10136	CORDES & COMPANY, INC	Accountant Expenses Stopped: check issued on 01/12/18	3420-000		-43,084.00	1,007,404.41
01/12/18	10137	CORDES & COMPANY, INC	Accountant expenses Stopped on 01/12/18	3420-000		43.81	1,007,360.60

Subtotals : \$0.00 \$362,888.95

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 12-20834-CDP  
**Case Name:** RICH GLOBAL, LLC

**Trustee:** TRACY L. ZUBROD, Chapter 7 Trustee (700120)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*5766 - Checking Account  
**Blanket Bond:** \$59,566,052.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*4511

**Period Ending:** 07/16/20

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
01/12/18	10137	CORDES & COMPANY, INC	Accountant expenses Stopped: check issued on 01/12/18	3420-000		-43.81	1,007,404.41
01/12/18	10138	CORDES & COMPANY, INC	accountant fees	3410-000		36,156.62	971,247.79
01/12/18	10139	CORDES & COMPANY, INC	accountant expenses	3420-000		43.81	971,203.98
01/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,571.34	969,632.64
02/28/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,301.69	968,330.95
03/30/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,392.80	966,938.15
04/04/18	10140	James T. Burghardt	Mediator Fees	3721-000		5,000.00	961,938.15
04/30/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,341.56	960,596.59
05/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,519.97	959,076.62
06/14/18	10141	Burghardt Mediation Works	Mediation Fees	3721-000		10,570.00	948,506.62
06/29/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,329.96	947,176.66
07/10/18	10142	Spencer Fane LLP	Attorney Fees	3210-000		280,209.60	666,967.06
07/10/18	10143	Spencer Fane LLP	Attorney Expenses	3220-000		68,367.02	598,600.04
07/10/18	10144	Cordes & Company, Inc	Accountant Fees	3410-000		119,542.00	479,058.04
07/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,116.54	477,941.50
08/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		710.36	477,231.14
09/28/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		366.09	476,865.05
10/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		431.13	476,433.92
11/19/18	10145	Cordes & Company, Inc.	Accountant fees	3410-000		21,967.53	454,466.39
11/26/18	10146	Spencer Fane LLP	Attorney Expenses	3220-000		12,205.14	442,261.25
11/26/18	10147	Cordes & Company	Accountant fees	3410-000		72,465.70	369,795.55
01/14/19	10148	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 01/14/2019 FOR CASE #12-20834, Bond #016027974	2300-000		139.00	369,656.55
09/12/19	{7}	Robert Kiyosaki	settlement payment	1149-000	7,500,000.00		7,869,656.55
10/29/19	10149	Spencer Fane LLP	attorney's fees	3210-000		924,128.10	6,945,528.45
10/29/19	10150	Spencer Fane LLP	attorney expenses	3220-000		9,817.68	6,935,710.77
10/29/19	10151	Cordes & Company	accountant expert witness fees	3420-000		18,769.36	6,916,941.41
12/03/19	10152	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 12/03/2019 FOR CASE #12-20834, blanket bond premium Voided on 12/09/19	2300-000		234.22	6,916,707.19
12/09/19	10152	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 12/03/2019 FOR CASE #12-20834, blanket bond premium Voided: check issued on 12/03/19	2300-000		-234.22	6,916,941.41

Subtotals : \$7,500,000.00 \$1,590,419.19

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 12-20834-CDP  
**Case Name:** RICH GLOBAL, LLC

**Trustee:** TRACY L. ZUBROD, Chapter 7 Trustee (700120)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*5766 - Checking Account  
**Blanket Bond:** \$59,566,052.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*4511  
**Period Ending:** 07/16/20

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/10/19	10153	Tracy L. Zubrod	attorney's fees	3110-000		7,650.00	6,909,291.41
12/10/19	10154	Tracy L. Zubrod	attorney expenses	3120-000		15.60	6,909,275.81
01/06/20	10155	International Sureties, LTD	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 01/06/2020 FOR CASE #12-20834, Blanket bond premium	2300-000		2,784.89	6,906,490.92
01/30/20	10156	Dennis & Company, P.C.	accountant fees	3410-000		4,543.00	6,901,947.92
05/26/20	10157	TRACY L. ZUBROD, Chapter 7 Trustee	Dividend paid 100.00% on \$318,930.27, Trustee Compensation; Reference:	2100-000		318,930.27	6,583,017.65
05/26/20	10158	TRACY L. ZUBROD, Chapter 7 Trustee	Dividend paid 100.00% on \$1,319.43, Trustee Expenses; Reference:	2200-000		1,319.43	6,581,698.22
05/26/20	10159	The Marantz Law Firm as attorneys for PRG	Dividend paid 100.00% on \$125,000.00; Claim# 3; Filed: \$287,213.19; Reference:	5200-000		125,000.00	6,456,698.22
05/26/20	10160	Debevoise & Plimpton LLP	Dividend paid 27.25% on \$23,690,999.41; Claim# 1; Filed: \$23,690,999.41; Reference: Stopped on 06/17/20	7100-000		6,456,698.22	0.00
06/17/20	10160	Debevoise & Plimpton LLP	Dividend paid 27.25% on \$23,690,999.41; Claim# 1; Filed: \$23,690,999.41; Reference: Stopped: check issued on 05/26/20	7100-000		-6,456,698.22	6,456,698.22
06/17/20	10161	Debevoise & Plimpton LLP	distribution to creditor	7100-000		6,456,698.22	0.00

<b>ACCOUNT TOTALS</b>	<b>9,855,958.92</b>	<b>9,855,958.92</b>	<b>\$0.00</b>
Less: Bank Transfers	10,730.52	0.00	
<b>Subtotal</b>	<b>9,845,228.40</b>	<b>9,855,958.92</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$9,845,228.40</b>	<b>\$9,855,958.92</b>	

Net Receipts :	9,856,008.92
Net Estate :	\$9,856,008.92

TOTAL - ALL ACCOUNTS	Net Receipts	Net Disbursements	Account Balances
Checking # ****-*****41-66	10,780.52	50.00	0.00
Checking # *****5766	9,845,228.40	9,855,958.92	0.00
	<b>\$9,856,008.92</b>	<b>\$9,856,008.92</b>	<b>\$0.00</b>